

Response to Official Action  
Application No. 09/930,918  
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**Amendments to the Drawings:**

No amendments are made to the Drawings herein.

**REMARKS**

The Examiner has issued a Requirement for Information under 37 CFR 1.105 seeking various information from Applicant and the Assignee of the present invention (hereinafter collectively referred to as "Applicant"). Applicant and the Assignee of the present invention below provide responses to the Examiner's requests.

(1) After reasonable investigation and to the best of its knowledge, Applicant states that the inventors of the system that is the subject of the present application did not rely on any non-patent literature, published applications and/or patents (U.S. or foreign) in designing the present invention. The inventors, instead, relied upon internal discussion amongst themselves and their co-workers concerning operation and desirable attributes of a system for facilitating trade processing and trade management, as well as discussions with customers/clients as to their requirements concerning such a system.

(2) The invention has been incorporated into a product that is currently available commercially, which product is known as the "Omgeo Central Trade Manager" product. Applicant does not have, and can not readily obtain, a working prototype of the invention that can be tested by the Examiner. The "Omgeo Central Trade Manager" product is a relatively complex system that requires integration of relatively complex computer systems and networks. It is not possible to simply provide a computer file that the Examiner could install on his computer in order to test the product in which the invention is embodied. However, if the Examiner so desires, Applicant could bring equipment to the USPTO which would enable the Examiner to evaluate and test, to a limited extent, the product in which the invention is embodied. If the Examiner would like a more complete demonstration of the product in which the invention is embodied,

Applicant may be able to arrange such a demonstration at the facilities of one of its customers in the Washington, DC area. If the Examiner would like to arrange for a demonstration pursuant to either of these options, he is invited to contact the undersigned.

(3) Applicant identifies the following as the known possible competitors of the "Omgeo Central Trade Manager" product:

- (i) FMCNet™, a product/service of Financial Models Company Inc.;
- (ii) TradeXpress, a product/service of Thomson TradeWeb LLC; and
- (iii) Component Services Architecture™, a product/service of Iris Financial Engineering Holdings Limited.

(4) The true assignee of the present invention is the assignee of record, Omgeo LLC.

Applicant respectfully submits that all pending claims, namely Claims 1-33, are patentable over the references of record, and earnestly solicits allowance of the same.

Respectfully submitted,



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